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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/743,852
Filing Date	December 22, 2003
First Named Inventor	Rocco Dean Gogliotti, et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	PC 25547A-EJB

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
Incomplete Application
- ☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation
Change of Correspondence Address
- ☐ Terminal Disclaimer
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- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication
to a Technology Center (TC)
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please
Identify below):
Statement as Provided by 37 CFR
1.97(b)
Postcard

Remarks

AUTHORIZATION TO CHARGE THE FEE AND ANY ADDITIONAL FEES AS
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23-0455 IS HEREBY GIVEN.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Eric J. Baude	Reg No.: 47413
Signature	<i>Eric J. Baude</i>	
Date	March 4, 2004	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date 3/4/04

Typed or printed Cicely Bohms

Signature *Cicely Bohms*

Date

3/4/04

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USPTO Fax No. 703-872-9306



PC 25547A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/743,852
Applicant : Rocco Dean Gogliotti, et al.
Filed : December 22, 2003
Title : "BENZOXAZINES AND DERIVATIVES THEREOF AS
THERAPEUTIC AGENTS"
TC/AU :
Examiner :
Docket No. : PC25547A
Customer No. :

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

The Information Disclosure Statement submitted herewith is being filed before the mailing of the first Office Action on the merits.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

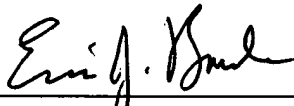
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

It is understood by the Applicants that this paper requires no fee; however, authorization is given to charge any necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Authorization is hereby given to charge the required fee under 37 C.F.R. §1.17(p), any other necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Respectfully Submitted,

Dated: March 4, 2004


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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/743,852
Filing Date	December 22, 2003
First Named Inventor	Rocco Dean Gogliotti
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	PC 25547A

Sheet	1	of	4
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 01/53266				
		WO 01/81346				
		EP 1277738				

Examiner Signature	Date Considered
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/730680
				Filing Date	December 8, 2003
				First Named Inventor	Nicole Barvian, et al.
				Art Unit	Not Yet Known
				Examiner Name	Not Yet Known
Sheet	2	of	4	Attorney Docket Number	PC 50508

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
		ANDREI, et al., Somatic Mutation and Homozygous Deletion of PTEN/MMAC1 Gene 10q23 in Renal Cell Carcinoma, Anticancer Research, 1999, pp 3841-3846, Vol 19		
		BORLANDO, et al., Increase phosphoinositide 3-kinase activity induces a lymphoproliferative disorder and contributes to tumor generation in vivo, FASEB J., May 2000, pp. 895-903, Vol 14		
		BRASS, et al., DNA Amplification on Chromosome 3q26.1-q26.3 in Squamous Cell Carcinoma of the Lung Detected by Reverse Chromosome Painting, Eur. J. Cancer, 1996, pp 1205-1208, Vol 32A(7), Great Britain		
		FORGACS, et al., Mutation analysis of the PTEN/MMAC1 gene in lung cancer, Oncogene, 1998, pp 1557-1565, Vol 17		
		HIRSCH, et al., Central Role for G Protein-Coupled Phosphoinositide 3-Kinase γ in Inflammation, Science, February 2000, pp 1049-1053, Vol 287, USA		
		HIRSCH, et al., Resistance to thromboembolism in PI3K-deficient mice, FASEB Journal, July 2001, pp. 2019-2021, USA		
		HU, et al., Inhibition of Phosphatidylinositol, 3'-Kinase Increases Efficacy of Paclitaxel in Vitro and in Vivo Ovarian Cancer Models, Cancer Res., February 2002, pp. 1087-1092, Vol 62		
		JIMENEZ, et al., Identification and characterization of new oncogene derived from the regulatory subunit of phosphoinositide 3-kinase, EMBO Journal, 1998, pp 743-753, Vol 17(3), USA		
		KATSO, et al., Cellular Function of phosphoinositide 3-Kinases: Implications for Development, Immunity, Homeostasis, and Cancer, Annu. Rev. Cell Dev. Biol. 2001, pp 615-675, Vol 17, USA		
		KOTELEVETS, et al., Inhibition by Platelet-activating Factor of Src- and Hepatocyte Growth Factor-dependent Invasiveness of Intestinal and Kidney Epithelial Cells, J. Biol. Chem., June 1998, pp 14138-14145, Vol 273(23), USA		

Examiner Signature		Date Considered	
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/743,852
				Filing Date	December 22, 2003
				First Named Inventor	Rocco Dean Gogliotti, et al
				Art Unit	Not Yet Known
				Examiner Name	Not Yet Known
Sheet	3	of	4	Attorney Docket Number	PC 25547A

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		KRCHAK, et al., A solid phase traceless synthesis of quinoxalinones, Tetrahedron Letters, 2000, pp 2835-2838, USA	
		LEE, et al., Solid-phase combinatorial synthesis of 5-arylalkylidene rhodanine, Tetrahedron Letters, 2000, pp 5729-5732, USA	
		LEE, et al., The Raf/MEK/ERK signal transduction cascade as a target for chemotherapeutic intervention in leukemia, Leukemia, 2002, pp 486-507, Vol 16, USA	
		LEOPOLDT, et al., GBY Stimulates Phosphoinositide 3-Kinase-γ by Direct Interaction with Two Domains of the Catalytic p110 Subunit, December 1997, pp 7024-7029, Vol 273(12), USA	
		MA, et al., PIK3CA as an oncogene in cervical cancer, Oncogene, 2000 pp 2739-2744, Vol 19,	
		NAKANISHI, et al., Novel Functions of Phosphatidylinositol 3-Kinase in Terminally Differentiated Cells, Cellular Signalling, 1995, pp 545-557, Vol 6, Great Britain	
		PHILP, et al., The Phosphatidylinositol 3'-kinase p85α Gene Is an Oncogene in Human Ovarian and Colon Tumors ¹ , Cancer Research, October 2001, pp 7426-7429, Vol 61, USA	
		SHAYESTEH, et al., PIK3CA is implicated as an oncogene in ovarian cancer, Nature Genetics, January 1999, pp. 99-102, Vol 21	
		SUSA, et al., Platelet-derived Growth Factor Activates Membrane-associated Phosphatidylinositol 3-Kinase and Mediates Its Translocation from the Cytosol, November 1992. Pp 22951-22956, Vol 267(32), USA	
		VANHAESEBROECK, et al., p110δ, a novel phosphoinositide 3-kinase in leukocytes, Proc. Natl. Acad. Sci., April 1997, pp. 4330-4335, Vol. 94, USA	

Examiner Signature		Date Considered	
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				Application Number	10/743,852
				Filing Date	December 22, 2003
				First Named Inventor	Rocco Dean Gogliotti, et al
				Art Unit	Not Yet Known
				Examiner Name	Not Yet Known
Sheet	4	of	4	Attorney Docket Number	PC 25547A

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		VIVANCO, et al., The Phosphatidylinositol 3-Kinase-Akt Pathway in Human Cancer, Nature Reviews Cancer, July 2002, pp. 489-501, Vol 2, USA	

Examiner Signature		Date Considered	
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